Understanding the Fund’s Environmental and Social Policy

Session 10
July 16, 2014
AF Policies, guidance and documents

Policies
- Operational Policies and Guidelines
- E&S Guidance
- Reporting, indicator policies
- Project delays pol.
- E&S Policy

Practical guidance, documents
- Proposal template
- Instructions to proponents
- RF and baseline guidance
- Project proposal
- E&S Assessments & Mgmt Plan
- Results Framework and M&E arrangements

Documents for proposal
Environmental and Social Management System

Identify E&S risks and impacts

Adopt mitigation hierarchy
- Avoid
- Minimize

Monitoring

Reporting

Engage Affected Communities, other stakeholders
- Throughout project cycle
- Includes communications & grievance mechanisms

ESMS commensurate with level of risks & impacts
E&S Screening and Assessment

- National screening requirements
  - No further actions required
  - National procedure ESIA

- Adaptation Fund - ESP screening based on the 15 principles
  - Risks
  - No risks
    - Consultation on screening
      - A
        - AF ESIA: commensurate with the risks
        - No further actions required (monitoring)
      - B
      - C

- AF Project application template
E&S Principles

• Outcomes based

• Provide risk mgmt and value creation

• Focused on the 15 greatest risks
Country: Marciatina
Issue: Coastal Flooding

1. Identify the key ESP issues
2. Identify the principles that likely are not applicable
3. Make a preliminary categorization determination
4. Nominate a spokesperson
Adaptation Fund Principles
E&S PRINCIPLES

1: Compliance with the Law

2: Access & Equity
- Fair and equitable access to benefits
- Don’t impede access to services
- Don’t exacerbate inequities
Marginalized & Vulnerable

- Impacts should not be disproportionate
- Groups excluded from normal economic/social fabric
- Limited capacity to withstand impacts
Human Rights

Principles address most, if not all, human rights that may be encountered.
Gender Equity & Women’s Empowerment

- Gender equity is a process of being fair to women and men
- Equity measures may need to be taken to compensate
Core Labor Rights

- Freedom of association & collective bargaining
- Elimination of forced labor
- Elimination of worst forms of child labor
- Non-discrimination
Indigenous Peoples

Indigenous Peoples Get Last Word on Mines
Canadian Court Ruling Encourages Firms to Seek Cooperation Before Digging Begins, Creating Frustration Over Cost

Namibia: Minority Groups Clamour for Rights

BY CATHERINE SASMAN, 24 FEBRUARY 2012

FOUR indigenous group have petitioned the Office of the Prime Minister protesting the construction of the Okawate Dam at Epupa in the Kunene Region.

The petitions were sent to the Office of the Prime Minister yesterday, and will be handed to UN (UN) bodies in New York today.

The declarations will also be handed to the African Union (AU) and the UN High Commissioner for Rights.

Russia marks International Sami People’s Day

Photo: RA Noveeti

Russia’s Murmansk region marks International Sami People’s Day on Monday, with a host of festivities expected there later in the day.
Involuntary Resettlement

- Avoid, minimize adverse social and economic impacts from land acquisition or restrictions on land use
  - Avoid, minimize displacement
  - Alternative project designs
  - Avoid forced eviction

- Restore livelihoods and standards of living